

Attorney's Docket No.: 07039-351001

## OFFICIAL COMMUNICATION

# **FACSIMILE**

### FOR THE USPTO

FAX NO: (703) 746-4060 (OIPE)

Number of pages including this page

Applicant: Joseph F. Poduslo et al.

Art Unit: 1646

Serial No.: 09/942,253

Examiner:

Filed

: August 29, 2001

FACSIMILE COMMUNICATION

Title

: TREATMENT FOR CENTRAL NERVOUS SYSTEM DISORDERS

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached to this facsimile communication cover sheet is a copy of the Request for Substitute Papers and copy of the Response to Notice to File Missing Parts of Application, faxed this 28th day of March, 2002, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: March 28, 2002

Monica McCormick Graham, Ph.D.

Reg. No. 42,600

Fish & Richardson P.C., P.A. 60 South Sixth Street Suite 3300 Minneapolis, MN 55402 Telephone: (612) 335-5070 Fax: (612) 288-9696

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NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (612) 335-5070 to arrange for its return. Thank you.



# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/942,253	08/29/2001	Poduslo, Joseph	07039-351001

MARK S. ELLINGER, PH.D. Fish & Richardson P.C., P.A. Suite 3300 60 South Sixth Street Minneapolis MN 55402

Title: Treatment for central nervous system disorders

Date Mailed:03/22/2002

# Request for Substitute Papers

The papers filed on <u>01/04/02</u> are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Monica McCormick Graham, Ph. Registration No.: 42,600

Signature: Joint Milal Date: 3/28/02

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Attorney's Docket No.: 07039-351001

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph F. Poduslo et al.

Art Unit : 1646

Serial No.: 09/942,253

Examiner: Unknown

Filed

: August 29, 2001

Title

: TREATMENT FOR CENTRAL NERVOUS SYSTEM DISORDERS

**BOX MISSING PARTS** Commissioner for Patents Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 4, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- X Check for \$567 for payment of the basic filing fee of \$370; payment of the additional/multiple dependent claims fees of \$132; and payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application; and
- X Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Monica McCormick Graham, Ph.D.

Reg. No. 42,600

Fish & Richardson P.C., P.A. 60 South Sixth Street Suite 3300 Minneapolis, MN 55402

Telephone: (612) 335-5070 Facsimile: (612) 288-9696

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#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Parents, Washington, D.C. 20231.

Sanderson

Typed or Printed Name of Person Signing Certificate

07039	y's Docket No. -351001	Express Mail Label No.	Mailing Date November 28, 2001	For PTO Use Only
Applicat 09/942		Filing Date August 29, 2001	Attorney/Secretary Init MMG/kjs	
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Page 1 of 1





### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.mszqc/coov

APPLICATION NUMBER

PILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/942.253

08/29/2001

Joseph F. Poduslo

07039-351001

CONFIRMATION NO. 6205

FORMALITIES LETTER

OC000000006844577

MARK S. ELLINGER, PH.D. Fish & Richardson P.C., P.A. **Suite 3300** 60 South Sixth Street Minneapolis, MN 55402



Date Mailed: 10/04/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- o Total additional claim fee(s) for this application is \$430. /32
  - □ \$90 for 10 total claims over 20.
  - \$48 for 1 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 556.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE